

Annexure-A

Format for providing Nomination

Date

FORM FOR NOMINATION / CANCELLATION OF NOMINATION
(To be filled in by individual applying singly or jointly)

GOGIA CAPITAL GROWTH LIMITED
Registered Office Address : 31, BASEMENT, CUMMINITY
CENTER, BSANT LOK, VASANT VIHAR, NEW DELHI - 110029

Trading Code

NSDL IN300589 CLIENT ID

| | | | | | | | |
|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
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I/We wish to cancel the nomination made by me / us earlier and consequently all rights and liabilities in respect of beneficiary ownership in the securities held by me / us in the said account shall vest in me/us. [Strike off the nomination details below]

NOMINATION (Depository A/c only Trading A/c only MF A/c only All) * PAN of Nominee Mandatory for Nomination in Trading A/c

I/We hereby nominate the following person(s) who shall receive all the assets held in my / our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s) *

| Sr. No. | Particular | Nominee 1 | Nominee 2 | Nominee 3 |
|---------|---|---|---|---|
| | Nominee Name | | | |
| | Nominee Relation | | | |
| | Share of Nominee (%)** | | | |
| | Nominee DOB**** | | | |
| | Nominee Guardian**** | | | |
| | Nominee Guardian Relation | | | |
| | Nominee Guardian PAN | | | |
| | Nominee Identity Type PAN/AADHAR/ DRIVING Licence No. Passport | PAN - <input type="text"/> Aadhar - <input type="text"/> DRIVING Licence No. _____ Passport No.: _____ | PAN - <input type="text"/> Aadhar - <input type="text"/> DRIVING Licence No. _____ Passport No.: _____ | PAN - <input type="text"/> Aadhar - <input type="text"/> DRIVING Licence No. _____ Passport No.: _____ |
| | Nominee Email | | | |
| | Nominee Mobile | | | |
| | Nominee Address | | | |
| | Nominee City | | | |
| | Nominee Pin Code | | | |

*Joint Accounts

| Event | Transmission of Account / Folio to |
|---|---|
| Demise of one or more joint holder(s) | Surviving holder(s) through name deletion The surviving holder(s) shall inherit the assets as owners |
| Demise of all joint holders simultaneously - having nominee | Nominee |
| Demise of all joint holders simultaneously - not having nominee | Legal heir(s) of the youngest holder |

** if % is not specified, then the assets shall be distributed equally amongst all the nominees (see table in 'Transmission aspects').

*** Provide only number: PAN or Driving Licence or Aadhaar (last 4). Copy of the document is not required.




**** to be furnished only in following conditions / circumstances:

- Date of Birth (DOB): please provide, only if the nominee is minor.
- Guardian: It is optional for you to provide, if the nominee is minor.

I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/ us by the AMC / DP as follows; (please tick, as appropriate)

Name of nominee(s) Nomination: Yes / No

This nomination shall supersede any prior nomination made by me/us, if any.

| Name(s) of holder(s) | | Signature(s) of holder* | Witness Signature* |
|-------------------------------|--|---|--------------------|
| Sole / First Holder (Mr./Ms.) | |  | |
| Second Holder (Mr./Ms.) | |  | |
| Third Holder (Mr./Ms.) | |  | |

* Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

Rights, Entitlement and Obligation of the investor and nominee:

- If you are opening a new demat account / MF folios, you have to provide nomination. Otherwise, you have to follow procedure as per 3.10 of this circular.
- You can make nomination or change nominee any number of times without any restriction. You are entitled to receive acknowledgement from the AMC / DP for each instance of providing or changing nomination.
- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee (s) to open separate single account / folio.
- In case all your nominees do not claim the assets from the AMC / DP, then the residual unclaimed asset shall continue to be with the AMC in case of MF units and with the concerned Depository in case of Demat account. You have the option to designate any one of your nominees to operate your account / folio, in case of your physical incapacitation. This mandate can be changed any time you choose.
- The signatories for this nomination form in joint folios / account, shall be the same as that of your joint MF folio / demat account. i.e. o 'Either or Survivor' Folios / Accounts - any one of the holder can sign o 'Jointly' Folios / Accounts - both holders have to sign.

Transmission aspects

- AMCs / DPs shall transmit the folio / account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s). The nominee is not required to provide affidavits, indemnities, undertakings, attestations or notarization.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP.
- In case of multiple nominees the assets shall be distributed pro-rata to the surviving nominees, as illustrated below.

| % share as specified by investor at the time of nomination | | % assets to be apportioned to surviving nominees upon demise of investor and nominee 'A' | | | |
|--|-------------|--|-----------------|----------------------------------|---------------|
| Nominee | % share | Nominee | % initial share | % of A's share to be apportioned | Total % share |
| A | 60% | A | 0 | 0 | 0 |
| B | 30% | B | 30% | 45% | 75% |
| C | 10% | C | 10% | 15% | 25% |
| Total | 100% | - | 40% | 60% | 100% |

Annexure-B**Declaration for opting-out of Nomination**

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our MF Folio/ demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our MF Folio / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio / demat account.

Name and Signature of Holder(s)*

1. _____ 2. _____ 3. _____

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Notes:

1. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate, partnership firm, and Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly, all joint holders will sign the nomination form.
2. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
3. Only individual / natural person(s) can be a nominee(s). The Nominee(s) shall not be artificial person created/dressed by the law or by a fiction such as trust, society, body corporate, partnership firm, Hindu Undivided Family etc. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
4. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
5. Transfer of securities in favour of a Nominee(s) shall be valid discharge by the Exchange/Depository and the Trading Member/Depository Participant against the legal heir.
6. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate, partnership firm and Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
7. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee(s).
8. Nomination can be made upto three nominees in a trading or demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
9. On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM FOR NOMINATION at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.
10. Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.
11. DP ID and client ID shall be provided where demat details is required to be provided.
12. This nomination shall supersede any prior nomination made by the account holder(s), if any.
13. All communication shall be sent at the address of the Sole/First holder only.
14. Thumb impressions must be attested by witness or a Magistrate or a Notary Public or a Special Executive Magistrate
15. Signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
16. The nomination and Declaration form may be signed using e-Sign facility or wet signature and in these cases, witness will not be required.